

Atty. Docket No. 36P001D

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Christopher J. Howard, et al.

Application No.: Unassigned

Filed: December 12, 2000

For: LIMITED-USE BROWSER AND
SECURITY SYSTEM

Examiner: Not Assigned

Art Unit: Not Assigned

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Technology Center 2100

Assistant Commissioner for Patents
Washington, D.C. 20231

PRELIMINARY AMENDMENT

Dear Sir or Madam:

Applicants respectfully request the Examiner to enter the following preliminary amendment prior to an examination of the above-identified application.

FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

on December 27, 2000

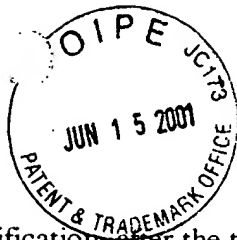
Date of Deposit

Carla Zavala

Name of Person Mailing Correspondence

[Signature]
Signature

12-27-00
Date



IN THE SPECIFICATION

At page 1 of the specification, after the title, please insert the following section:

CROSS-REFERENCE TO RELATED APPLICATION

The present application is a divisional application of pending application U.S. Serial Number 09/393,405 filed September 10, 1999.

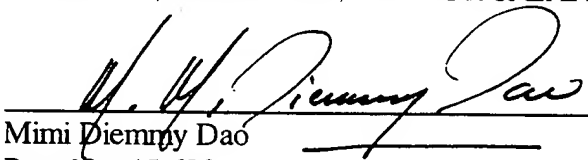
Deposit Account Authorization

Authorization is hereby given to charge our Deposit Account No. 02-2666 for any charges that may be due.

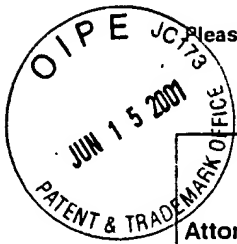
Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: 12-27-2000


Mimi Diemmy Dao
Reg. No. 45,628

12400 Wilshire Boulevard
Seventh Floor
Los Angeles, California 90025-1026
(408) 720-8300



Please type a plus sign (+) inside this box [+]

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 3936P001D
(maximum 12 characters)
First Named Inventor Christopher J. Howard, et al.
Title: LIMITED-USE BROWSER AND SECURITY SYSTEM
Express Mail Label No. EL431886060US

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D. C. 20231.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. X Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. Applicant Claims Small Entity Status. (37 CFR 1.27)
3. X Specification (Total Pages 46)
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference sequence listing, a table,
or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. X Drawings(s) (35 USC 113) (Total Sheets 11)
5. X Oath or Declaration (Total Pages 4)
 - a. Newly Executed (Original or Copy)
 - b. X Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 17 completed)
 - i. DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
 - c. Unsigned.
6. Application Data Sheet. (37 CFR 1.76)
7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statement verifying identity of above copies

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ACCOMPANYING APPLICATION PARTS

9. ☐ **Assignment Papers (cover sheet & documents(s))**
10. ☐ a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)
- ☐ b. Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ a. Information Disclosure Statement (IDS)/PTO-1449
- ☐ b. Copies of IDS Citations
13. ☒ **Preliminary Amendment**
14. ☒ **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ **Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**
17. ☒ Other: The Declaration and Power of Attorney is intended for the attached application submitted herewith. Copy of Postcard with Express Mail Label

18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP)
 Of Prior Application No.: 09/393,405 filed September 10, 1999 Examiner Not Yet Assigned
 Group Art Unit 2766

(which is a ☐ continuation/ ☐ divisional/ ☐ CIP of prior application no. _____
 which is a ☐ continuation/ ☐ divisional/ ☐ CIP of prior application no. _____) (List entire chain of priority)

For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18B. Statement under 37 CFR 3.73(b) for continuing application:

The undersigned states that _____ (name of assignee) is the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel. No. _____ Frame No. _____ (or a copy of which is attached).

19. Correspondence Address

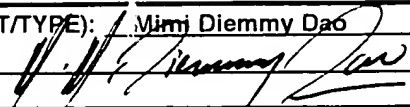
☐ Customer Number or Bar Code Label _____
 or _____ (Insert Customer No. or Attach Bar Code Label here)
☒ Correspondence Address Below

NAME Mimi Diemmy Dao
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ADDRESS 12400 Wilshire Boulevard
Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (408) 720-8300 FAX (408) 720-9397

Name (PRINT/TYPE):	Mimi Diemmy Dao	Registration No.:	45,626
Signature:		Date:	12-21-2000



Attorney's Docket No.: 3936P001D

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:)
)
Christopher J. Howard, et al.)
) Examiner: Unassigned
Serial No: Unassigned)
) Art Unit: Unassigned
Filed: Herewith)
)
For: LIMITED-USE BROWSER AND)
SECURITY SYSTEM)
)
A Divisional of:)
)
Serial No: 09/393,405)
)
Filed: September 10, 1999)

Assistant Commissioner for Patents
Washington, D.C. 20231

PRELIMINARY AMENDMENT

Dear Sir or Madam:

Prior to an examination of the above-identified application, and prior to calculating the filing fee of the enclosed divisional application, please enter the following amendments.

“Express Mail” mailing label number: EL431886060US

Date of Deposit: December 21, 2000

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service “Express Mail Post Office to Addressee” service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

Carla Zarala

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

(Date signed)



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Attorney's Docket No.: 3936P001D

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:)
)
Christopher J. Howard, et al.)
)
Serial No: 09/745,395 ✓)
)
Filed: December 21, 2000)
)
For: LIMITED-USE BROWSER AND)
SECURITY SYSTEM)
)
A Divisional of:)
)
Serial No: 09/393,405)
)
Filed: September 10, 1999)

Examiner: Unassigned
Art Unit: Unassigned

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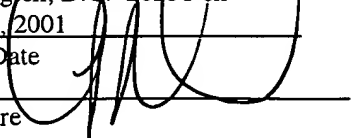
SUBMISSION OF COPIES OF PRELIMINARY AMENDMENTS

Dear Sir or Madam:

Applicants are submitting copies of the Preliminary Amendments for Divisional Application, Serial No. 09/745,395, which were filed with the U.S. Patent and Trademark Office. One Preliminary Amendment filed on December 21, 2000 and one Preliminary Amendment filed on December 27, 2000. The reason for Applicants' submitting copies of these two Preliminary Amendments is that it is not apparent that these two preliminary amendments are in the record.

FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on

June 11, 2001
Filing Date
Signature 

Carla Zavala
Name of Person Mailing Correspondence
Date 6-11-01

Deposit Account Authorization

If there are any additional charges, please charge Deposit Account No. 02-2666.

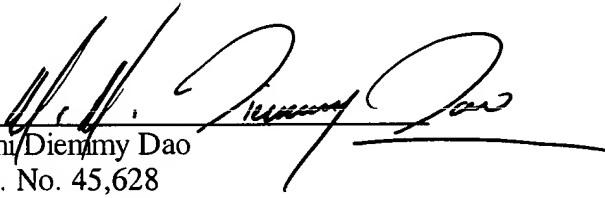


Date: 6-11, 2001

12400 Wilshire Boulevard
Seventh Floor
Los Angeles, CA 90025-1026
(408) 720-8300

Respectfully submitted,

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